

# United States Senate

## SELECT COMMITTEE ON ETHICS

### Annual Report of the Select Committee on Ethics 116<sup>th</sup> Congress, Second Session

January 28, 2020

The Honest Leadership and Open Government Act of 2007 (the Act) calls for the Select Committee on Ethics of the United States Senate to issue an annual report no later than January 31<sup>st</sup> of each year providing information in certain categories describing its activities for the preceding year. Reported below is the information describing the Committee's activities in 2019 in the categories set forth in the Act:

(1) The number of alleged violations of Senate rules received from any source, including the number raised by a Senator or staff of the Committee: **251**. (In addition, 16 alleged violations from previous years were carried into 2019.)

(2) The number of alleged violations that were dismissed –

(A) For lack of subject matter jurisdiction or in which, even if the allegations in the complaint are true, no violation of Senate rules would exist: **135**. (This figure includes 4 matters from the previous year carried into 2019.)

(B) Because they failed to provide sufficient facts as to any material violation of the Senate rules beyond mere allegation or assertion: **118**. (This figure includes 5 matters from previous years carried into 2019.)

(3) The number of alleged violations for which the Committee staff conducted a preliminary inquiry: **16**. (This figure includes 8 matters from previous years carried into 2019.)

(4) The number of alleged violations for which the Committee staff conducted a preliminary inquiry that resulted in an adjudicatory review: **0**.

(5) The number of alleged violations for which the Committee staff conducted a preliminary inquiry and the Committee dismissed the matter for lack of substantial merit or because it was inadvertent, technical or otherwise of a *de minimis* nature: **11**.

(6) The number of alleged violations for which the Committee staff conducted a preliminary inquiry and the Committee issued private or public letters of admonition: **0**.

(7) The number of matters resulting in a disciplinary sanction: **0**.

(8) Any other information deemed by the Committee to be appropriate to describe its activities in the previous year:

- In 2019, the Committee staff conducted **36 Member and committee office campaign briefings (includes 6 remedial training sessions); 21 employee code of conduct training sessions; 11 public financial disclosure clinics, seminars, and webinars; 19 ethics seminars and customized briefings for Member DC offices, state offices, and Senate committees; 4 private sector ethics briefings; and 3 international briefings.**
- In 2019, the Committee staff handled approximately **10,998 inquiries (via telephone and email)** for ethics advice and guidance.
- In 2019, the Committee wrote approximately **784 ethics advisory letters and responses** including, but not limited to, **581 travel and gifts matters** (Senate Rule 35) and **133 conflict of interest matters** (Senate Rule 37).
- In 2019, the Committee received **3,586 public financial disclosure and periodic disclosure of financial transactions reports.**